

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: John Malitzis et al.	Art Unit	: 3628
Serial No.	: 09/812,225	Examiner	: Harbeck, Timothy
Filed	: March 19, 2001	Confirmation No.:	9467
		Notice of Allowance Date:	11/14/07
Title	: ODD LOT PROCESSING IN CENTRALIZED AUTOMATED MARKET SYSTEM		

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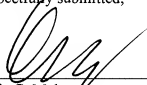
RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed November 14, 2007, enclosed is a completed issue fee transmittal form PTOL-85b. Please charge the required fee of \$1740 to Deposit Account No. 06-1050.

Please apply any additional charges or credits to our Deposit Account No. 06-1050.

Respectfully submitted,

Date: 2/14/08



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I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: February 14, 2008

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26161

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11/14/2007

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APPLICATION NO	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/812,225	03/19/2001	John Malitzis et al.	09857-057001	9467

TITLE OF INVENTION: **ODD LOT PROCESSING IN CENTRALIZED AUTOMATED MARKET SYSTEM**

APPL. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$1740	02/14/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
Madamba, Clifford B.	3692	705-037000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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2. _____

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

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The Nasdaq Stock Market, Inc.

Rockville, MD

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

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5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.2.7. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.2.7.(g)(2).

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(Date)

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